

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

Attorney Docket No. AND1P296

First Named Inventor:

UNDERWOOD, Roy Aaron

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Express Mailing Label

Number **EL281263433US**

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventor:  
**Roy Aaron Underwood**

For: **A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR AN E-COMMERCE  
BASED USER FRAMEWORK DESIGN FOR MAINTAINING USER PREFERENCES,  
ROLES AND SECURITY**

Application Elements:

- ☒ 633 Pages of Specification, Claims and Abstract  
☒ 110 Sheets of Drawings  
☐ \*\* Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations
- ☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Small Entity Statement(s)  
☒ Other: **NO FEES ENCLOSED**

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0797 (Order No. AND1P296).

General Authorization for Petition for Extension of Time (37 CFR § 1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR § 1.17 as may be needed to Deposit Account No. 50-0797 (Order No. AND1P296).

☒ Please send correspondence to the following address:

HICKMAN STEPHENS & COLEMAN, LLP

P.O. Box 52037

Palo Alto, CA 94303-0746

Tel (408) 558-9950

Fax (408) 558-9960



**L. Keith Stephens**

Registration No. 32,632

Date: July 30, 1999